

CHUAN HE

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EMPLOYMENT

University of Minnesota

Postdoctoral Associate in Computer Science and Engineering

Supervisor: Ju Sun

Minneapolis, United States

Oct 2023 – Present

EDUCATION

University of Minnesota

Ph.D. in Industrial and Systems Engineering

Advisor: Zhaosong Lu

Minneapolis, United States

Sep 2019 – Sep 2023

Xiamen University

B.S. in School of Mathematical Sciences

Supervisor: Wen Huang

Xiamen, China

Sep 2015 – July 2019

RESEARCH INTERESTS

- ★ **Data Science:** Federated Learning, Deep Learning, Distributionally Robust Learning.
- ★ **Optimization:** Distributed Optimization, Accelerated Methods, High-order Methods.

PUBLICATIONS

Published and Under Review

1. **C. He**, Zhaosong Lu, “A Newton-CG based barrier method for finding a second-order stationary point of nonconvex conic optimization with complexity guarantees”, *SIAM Journal on Optimization*, 33(2):1191–1222, 2023.
2. **C. He**, Zhaosong Lu, Ting Kei Pong, “A Newton-CG based augmented Lagrangian method for finding a second-order stationary point of nonconvex equality constrained optimization with complexity guarantees”, *SIAM Journal on Optimization*, 33(3):1734-1766, 2023.
3. Akshit Goyal, Yiling Zhang, **C. He**, “Decision rule approaches for pessimistic bilevel linear programs under moment ambiguity with facility location applications”, *INFORMS Journal on Computing*, 35(6):1342-1360, 2023.
4. Masaru Ito, Zhaosong Lu, **C. He**, “A parameter-free conditional gradient method for composite minimization under Hölder condition”, *Journal of Machine Learning Research*, 24(166):1-34, 2023.
5. **C. He**, Heng Huang, Zhaosong Lu, “A Newton-CG based barrier-augmented Lagrangian method for general nonconvex conic optimization”, Under review.
6. **C. He**, Le Peng, Ju Sun, “Federated learning with convex global and local constraints”, Under review.
7. **C. He**, Zhaosong Lu, “Newton-CG methods for nonconvex unconstrained optimization under Hölder continuous Hessian”, Under review.

8. Le Peng, Yash Travadi, **C. He**, Ying Cui, Ju Sun, “Direct metric optimization for imbalanced classification”, Under review.
9. Tiancong Chen, Hengkang Wang, **C. He**, Ying Cui, Ju Sun, “Regression with high-dimensional targets”, Under review.

Working papers

1. **C. He**, Ryan Devera, Wenjie Zhang, Ying Cui, Zhaosong Lu, Ju Sun, “Deep learning with nontrivial constraints: Methods and applications”, Working in progress.
2. Ryan Devera, **C. He**, Sean Schweiger, Zhong Zhuang, Ju Sun, “Deeplifting: Unconstrained global optimization made easy”, Working in progress.
3. **C. He**, Ju Sun, Zhaosong Lu, Shuzhong Zhang, “Federated learning with linearly coupled convex constraints”, Working in progress.

CONFERENCE ACTIVITIES

Presentations

1. “Augmented Lagrangian Methods for Constrained Optimization in Machine Learning”
 - *INFORMS Anneal Meeting*, Oct 2023, Phoenix, United States.
2. “Second-order Learning via Newton-CG Based Methods”
 - *Applied Math conference in Shenzhen University Talk*, July 2023, Shenzhen, China.
 - *SIAM Conference on Optimization*, June 2023, Seattle, United States.
 - *Applied Math Seminar in Southern University of Science and Technology*, May 2021, Shenzhen, China.
3. “Decision Rule Approaches for Bilevel Linear Programs”
 - *INFORMS Annual Meeting*, Nov 2020, virtual presentation.

Posters

1. “Federated Learning with Convex Constraints”
 - *NeurIPS OPT Workshop*, Dec 2023, New Orleans, United States.
2. “Novel Algorithms for Nonconvex Second-order Optimization with Performance Guarantees”
 - *FoCM Conference, Continuous Optimization Session*, June 2023, Paris, France.

RESEARCH EXPERIENCE

Research Assistant

University of Pittsburgh

Host: Heng Huang

Jan 2022 – Aug 2022
Pittsburgh, United States

Summer Research Assistant

The Hong Kong Polytechnic University

Host: Ting Kei Pong

May 2021 – Aug 2021
Hong Kong, China

TEACHING EXPERIENCE

Industrial and Systems Engineering

Teaching Assistant

University of Minnesota

Sep 2019 – Sep 2023

IE 8564: Optimization for machine learning, Fall 2022.

IE 5533: Operations research for data science, Fall 2022.

IE 8534: Advanced topics in optimization for machine learning, Fall 2020, 2021.

IE 5561: Analytics and data-driven decision making, Spring 2021.

IE 8532: Stochastic process and queuing systems, Fall 2020.

IE 3522: Quality engineering and reliability, Spring 2020.

IE 3521: Statistics, quality, and reliability, Fall 2019.